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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

OCT 25 2004

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

## Complete if Known

Application Number 107776,682  
Filing Date 02/10/2004  
First Named Inventor Rodolfo A. Morales  
Group Art Unit 3764  
Examiner Name Unassigned  
Attorney Docket Number GDS 1003-4

## U.S. PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
UKR	A1	5,257,975		Foshee	11-02-1993	
	A2	5,522,873		Jackman et al.	06-04-1996	
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	A17					
	A18					
	A19					
	A20					

## FOREIGN PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Ts
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
UKR	B1	WO	98/07375		Trustees of Columbia U.	02-26-1998		
UKR	B2	WO	02/03892		Paul A. Spence	01-17-2002		
	B3							
	B4							
	B5							
	B6							
	B7							
	B8							
	B9							
	B10							

Examiner  
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Michael K. Rychar

Date

Considered

9/22/04

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<sup>2</sup> Unique citation designation number. <sup>3</sup> See attached Kinds of U.S. Patent Documents. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>7</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	10/776,682	
			Filing Date	02/10/2004	
			First Named Inventor	Rodolfo A. Morales	
			Group Art Unit	3764	
			Examiner Name	Unassigned	
			Attorney Docket Number	GDS 1003-4	
Sheet	2	of	2		

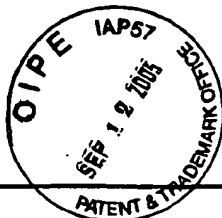
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ALTERNATIVE TO PTO/SB/08a/b (07-05)

<b>Substitute for form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/776,682	
			Filing Date	February 10, 2004	
			First Named Inventor	Rodolfo A. MORALES	
			Art Unit	3764	
Sheet	1	of	3	Examiner Name	Not Yet Assigned
				Attorney Docket Number	578492000510

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	2.	US-2001/0014800-A1	08-16-2001	Frazier et al.	
	3.	US-2002/0013621-A1	01-31-2002	Stobie et al.	
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Examiner Signature	<i>Michelle K. Ryckman</i>	Date Considered	9/22/06
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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/776,682	
			Filing Date	February 10, 2004	
			First Named Inventor	Rodolfo A. MORALES	
			Art Unit	3764	
Examiner Name	Not Yet Assigned				
Sheet	2	of	3	Attorney Docket Number	578492000510

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
MKL	76.	WO-94/03227-A1		02-17-1994	Stefanadis et al.		
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	78.	WO-00/67640-A2, A3		11-16-2000	Brock Rogers Surgical, Inc.		
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	81.	WO-03/105670-A2, A3		12-24-2003	Guided Delivery Systems, Inc.		
MKL	82.	WO-2005/025644-A2		03-24-2005	Guided Delivery Systems, Inc.		

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Examiner Signature	<i>Melissa K. Ryckman</i>	Date Considered	9/22/06
pa-1006899			

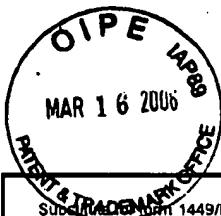
Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	10/776,682
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				Art Unit	3764
				Examiner Name	Not Yet Assigned
Sheet	3	of	3	Attorney Docket Number	578492000510

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>2</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>3</sup>
NKR	83.	De Simone, R. et al. (April 15, 1993). "Adjustable Tricuspid Valve Annuloplasty Assisted by Intraoperative Transesophageal Color Doppler Echocardiography," <i>Am. J. Cardiol.</i> 71(11):926-931.	
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<sup>2</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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			Application Number	10/776,682	
			Filing Date	February 10, 2004	
			First Named Inventor	Rodolfo A. MORALES	
			Art Unit	3785	
			Examiner Name	G. Jackson	
Sheet	1	of	1	Attorney Docket Number	578492000510

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MJR	1.	US-2003/0033006-A1	02-13-2003	Phillips et al.	
	2.	US-2003/0167071-A1	09-04-2003	Martin et al.	
	3.	US-2005/0080454-A1	04-14-2005	Drews et al.	
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	14.	US-2005/0273138-A1	12-08-2005	To et al.	
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	18.	US-2006/0025750-A1	02-02-2006	Starksen et al.	
	19.	US-2006/0025784-A1	02-02-2006	Starksen et al.	
	20.	US-2006/0025787-A1	02-02-2006	Morales et al.	
	21.	US-5,520,702-A	05-28-1996	Sauer et al.	
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